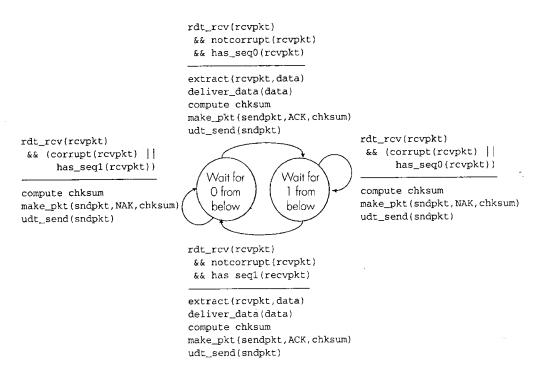
3. Consider our motivation for correcting protocol rtd2.1.



Show that this receiver, when operating with the sender shown in Figure 3.12, can lead the sender and receiver to enter into a deadlock state, where each is waiting for an event that will never occur.

- 4. In protocol rdt3.0, the ACK packets flowing from the receiver to the sender do not have sequence numbers (although they do have an ACK field that contains the sequence number of the packet they are acknowledging). Why is it that our ACK packets do not require sequence numbers?
- 5. Draw the FSM for the receiver side of protocol rdt 3.0.
- 6. Give a trace of the operation of protocol rdt3.0 when data packets and acknowledgments packets are garbled. Your trace should be similar to that used in Figure 3.16.
- 7. Consider a channel that can lose packets but has a maximum delay that is known. Modify protocol rdt2.1 to include sender timeout and retransmit. Informally argue why your protocol can communicate correctly over this channel.
- 8. The sender side of rdt3.0 simply ignores (that is, takes no action on) all received packets that are either in error, or have the wrong value in the acknum

field of an acknowledgment packet. Suppose that in such circumstances, rdt3.0 were to simply retransmit the current data packet. Would the protocol still work? (*Hint:* Consider what would happen in the case that there are only bit errors; there are no packet losses but premature timeouts can occur. Consider how many times the *n*th packet is sent, in the limit as *n* approaches infinity.)

- 9. Consider the cross-country example shown in Figure 3.17. How big would the window size have to be for the channel utilization to be greater than 90%?
- 10. Design a reliable, pipelined, data transfer protocol that uses only negative acknowledgments. How quickly will your protocol respond to lost packets when the arrival rate of data to the sender is low? Is high?
- 11. In the generic selective repeat protocol that we studied in Section 3.4.4, the sender transmits a message as soon as it is available (if it is in the window) without waiting for an acknowledgment. Suppose now that we want a SR protocol that sends messages two at a time. That is, the sender will send a pair of messages, and will send the next pair of messages only when it knows that both messages in the first pair have been received correctly.

Suppose that the channel may lose messages but will not corrupt or reorder messages. Design an error-control protocol for the unidirectional reliable transfer of messages. Give a FSM description of the sender and receiver. Describe the format of the packets sent between sender and receiver, and vice versa. If you use any procedure calls other than those in Section 3.4 (e.g., udt_send(), start_timer(), rdt_rcv(), etc.), clearly state their actions. Give an example (a timeline trace of sender and receiver) showing how your protocol recovers from a lost packet.

- 12. Consider a scenario in which a host, A, wants to simultaneously send messages to hosts B and C. A is connected to B and C via a broadcast channel—a packet sent by A is carried by the channel to both B and C. Suppose that the broadcast channel connecting A, B, and C can independently lose and corrupt messages (and so, for example, a message sent from A might be correctly received by B, but not by C). Design a stop-and-wait-like error-control protocol for reliably transferring a packet from A to B and C, such that A will not get the data from the upper layer until it knows that both B and C have correctly received the current packet. Give FMS descriptions of A and C. (*Hint:* The FSM for B should be essentially the same as for C.) Also, give a description of the packet format(s) used.
- 13. Consider the Go-Back-N protocol with a sender window size of 3 and a sequence number range of 1,024. Suppose that at time t, the next in-order packet that the receiver is expecting has a sequence number of k. Assume that the medium does not reorder messages. Answer the following questions:
 - a. What are the possible sets of sequence numbers inside the sender's window at time t? Justify your answer.

- b. What are all possible values of the ACK field in the message currently propagating back to the sender at time t? Justify your answer.
- 14. Suppose we have two network entities, A and B. B has a supply of data messages that will be sent to A according to the following conventions. When A gets a request from the layer above to get the next data (D) message from B, A must send a request (R) message to B on the A-to-B channel. Only when B receives an R message can it send a data (D) message back to A on the B-to-A channel. A should deliver exactly one copy of each D message to the above layer. R messages can be lost (but not corrupted) in the A-to-B channel; D messages, once sent are always delivered correctly. The delay along both channels is unknown and variable.

Design (give a FSM) description of a protocol that incorporates the appropriate mechanisms to compensate for the loss-prone A-to-B channel and implements message passing to the above layer at entity A, as discussed above. Use only those mechanisms that are absolutely necessary.

- 15. Consider the Go-Back-N and selective-repeat protocols. Suppose the sequence number space is of size *k*. What is the largest allowable sender window that will avoid problems such as that in Figure 3.26 from occurring for each of these protocols?
- 16. Answer true or false to the following questions and briefly justify your answer:
 - a. With the selective repeat protocol, it is possible for the sender to receive an ACK for a packet that falls outside of its current window.
 - b. With Go-Back-N, it is possible for the sender to receive an ACK for a packet that falls outside of its current window.
 - c. The alternating-bit protocol is the same as the selective-repeat protocol with a sender and receiver window size of 1.
 - d. The alternating-bit protocol is the same as the Go-Back-N protocol with a sender and receiver window size of 1.
- 17. Consider transferring an enormous file of *L* bytes from host A to host B. Assume an MSS of 1460 bytes.
 - a. What is the maximum value of *L* such that TCP sequence numbers are not exhausted? Recall that the TCP sequence number field has four bytes.
 - b. For the *L* you obtain in (a), find how long it takes to transmit the file. Assume that a total of 66 bytes of transport, network, and data-link header are added to each segment before the resulting packet is sent out over a 10 Mbps link. Ignore flow control and congestion control, so A can pump out the segments back-to-back and continuously.
- 18. In Figure 3.31, we see that TCP waits until it has received three duplicate ACK before performing a fast retransmit. Why do you think the TCP designers chose

- not to perform a fast retransmit after the first duplicate ACK for a segment is received?
- 19. Consider the TCP procedure for estimating RTT. Suppose that x = .1. Let SampleRTT₁ be the most recent sample RTT, let SampleRTT₂ be the next most recent sample RTT, etc. (a) For a given TCP connection, suppose four acknowledgments have been returned with corresponding sample RTTs SampleRTT₄, SampleRTT₃, SampleRTT₂, and SampleRTT₁. Express EstimatedRTT in terms of the four sample RTTs. (b) Generalize your formula for n sample round-trip times. (c) For the formula in part (b) let n approach infinity. Comment on why this averaging procedure is called an exponential moving average.
- 20. Refer to Figure 3.51 that illustrates the convergence of TCP's additive increase, multiplicative decrease algorithm. Suppose that instead of a multiplicative decrease, TCP decreased the window size by a constant amount. Would the resulting additive increase additive decrease converge to an equal share algorithm? Justify your answer using a diagram similar to Figure 3.51.
- 21. Recall the idealized model for the steady-state dynamics of TCP. In the period of time from when the connection's window size varies from (W·MSS)/2 to W·MSS, only one packet is lost (at the very end of the period).
 - a. Show that the loss rate is equal to

$$L = loss rate = \frac{1}{\frac{3}{8}w^2 + \frac{3}{4}w}$$

b. Use the above result to show that if a connection has loss rate *L*, then its average bandwidth is approximately given by:

Average bandwidth of connection ~ $1.22 \cdot MSS/[RTT \cdot sqrt(L)]$

- 22. Consider sending an object of size O = 100 Kbytes from server to client. Let S = 536 bytes and RTT = 100 msec. Suppose the transport protocol uses static windows with window size W.
 - a. For a transmission rate of 28 Kbps, determine the minimum possible latency. Determine the minimum window size that achieves this latency.
 - b. Repeat (a) for 100 Kbps.
 - c. Repeat (a) for 1 Mbps.
 - d. Repeat (a) for 10 Mbps.
- 28. Suppose TCP increased its congestion window by two rather than by one for each received acknowledgment during slow start. Thus, the first window

$$K' \left[\log_2 \left((M+1) \frac{O}{S} + 1 \right) \right]$$

Note that K' is the number of windows that cover an object of size (M+1)O and P' is the number of stall periods when sending the large object over a single TCP connection. Suppose (incorrectly) the server can send the images without waiting for the formal request for the images from the client. Show that the response time is that of sending one large object of size (M+1)O:

Approx response time =
$$2RTT + \frac{(M+1)O}{R} + P'\left[RTT + \frac{S}{R}\right] - (2^{P'} - 1)\frac{S}{R}$$

c. The actual response time for persistent HTTP is somewhat larger than the approximation. This is because the server must wait for a request for the images before sending the images. In particular, the stall time between the Kth and (K + 1)st window is not $[S/R + RTT + 2^{K-1}(S/R)]^+$ but is instead RTT. Show that

Response time =
$$3RTT + \frac{(M+1)O}{R} + P'\left[RTT + \frac{S}{R}\right] - (2^{P'} - 1)\frac{S}{R} - \left[\frac{S}{R} + RTT - \frac{S}{R}2^{K-1}\right]^{+}$$

- 29. Consider the scenario of RTT = 100 msec, O = 5 Kbytes, S = 536 bytes, and M = 10. Construct a chart that compares the response times for nonpersistent and persistent connections for 28 Kbps, 100 Kbps, 1 Mbps, and 10 Mbps. Note that persistent HTTP has substantially lower response time than nonpersistent HTTP for all the transmission rates except 28 Kbps.
- 30. Repeat the above question for the case of RTT = 1 sec, O = 5 Kbytes, S = 536 bytes, and M = 10. Note that for these parameters, persistent HTTP gives a significantly lower response time than nonpersistent HTTP for all the transmission rates.
- 31. Consider now nonpersistent HTTP with parallel TCP connections. Recall that browsers typically operate in this mode when using HTTP/1.0. Let X denote the maximum number of parallel connections that the client (browser) is permitted to open. In this mode, the client first uses one TCP connection to obtain the base HTML file. Upon receiving the base HTML file, the client establishes